

Grantee Name			
Agency Name			
Audit Year			Points
1. For the Grantee's most recently concluded fiscal year, is the Grantee required to have a federal single audit under the federal Single Audit Act of 1984, as amended, and 2 C.F.R. § 200.501?	a) Yes, and has current audit on file, proceed to Question 2 (0 points) b) Yes, but no current audit on file - most recent audit < 2 years old (3 points) c) No, (i) consult with the Grantee on a substitute process to assess whether the Grantee has adequate accounting methods and procedures to expend grant funds in accordance with applicable law and account for and safeguard grant funds and assets acquired by grant funds; and (ii) request from the Secretary of Finance and Administration a deviation from FIN 9.2 authorizing agency to use substitute process (5 points) d) Yes, but no audit on file from previous 2 fiscal years (STOP, Fiscal Agent required)		
2. For the Grantee's most recently concluded fiscal year, if the due date for the federal single audit report under federal law has passed, did the Grantee timely submit to the federal government all forms and federal single audit documentation required under the federal Single Audit Act of 1984, as amended, and 2 C.F.R. § 200.508? (A federal single audit report is timely submitted for the purposes of this question if submitted by any extended due date granted by the Grantee's federal cognizant agency.)	a) Yes, due date was met (including by extension), proceed to Question 3 (0 points) b) No, due date was not met - most recent audit < 2 years old (3 points) c) No, no audit on file from previous 2 fiscal years (STOP, Fiscal Agent required)		
3. Does the federal form SF-SAC (or its successor form) for the Grantee's most recently concluded fiscal year for which an audit report is due under federal law reflect an unqualified opinion and no significant deficiencies, material weaknesses, instances of material non compliance, or questioned costs?	a) Yes, unqualified opinion for current audit with no significant deficiencies, material weaknesses, instances of material non compliance or questioned costs (0 points) b) No, but unqualified opinion for most recent audit (<2 years old) with unqualified opinion and no significant deficiencies, material weaknesses, instances of material non compliance or questioned costs (2 points) c) Special conditions will be implemented by State Agency (5 points) d) Repeated material weaknesses for 2+ years (STOP, Fiscal Agent required)		
4. Does the Grantee's most recent federal single audit raise concerns about the Grantee's ability to expend grant funds in accordance with the applicable law and account for and safeguard grant funds and assets acquired with grant funds?	a) No, current audit with no significant deficiencies or material weaknesses (0 points) b) No, but most recent audit (<2 years old) with no significant deficiencies or material weaknesses (2 points) c) No, current audit material weaknesses and/or significant deficiencies have been remedied (3 points) d) No, most recent audit (<2 years old) material weaknesses and/or significant deficiencies have been remedied (4 points) e) Special conditions will be implemented by State Agency (5 points) f) Repeated material weaknesses for 2+ years (STOP, Fiscal Agent required)		
	0-7 points	Low Risk	Total Points
	8-15 points	Moderate Risk	
	16-22 points	High Risk	
Initial UFC Determination:			
Recommended special conditions to be implemented by the State Agency:			
Recommended fiscal agent:			
Completed By	Print Name	Signature	Date
Final UFC Determination:			
The following special conditions will be implemented by the State Agency:			
If a fiscal agent is used, please fill out another UFC form. The following fiscal agent will administer the appropriation(s):			
Approved by Agency CFO:	Print Name	Signature	Date
Approved & Accepted by:			
Date Received by IPDD	IPDD Staff Member Name	IPDD Signature	Date Uploaded to Share Drive